



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen T. Kuehn

Serial No.: 10/034,589

Filed: December 28, 2001

For: ANNULOPLASTY RING HOLDER

Docket No.: S16.12-0128

Group Art Unit 3828

Examiner:

TECHNOLOGY CENTER R3700

MAY - 8 2002

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER
IS BEING SENT BY U.S. MAIL, FIRST
CLASS, TO THE ASSISTANT
COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

DAY OF

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR § 1.97. Copies of the patents or publications cited are enclosed.

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TIME OF FILING

The information disclosure statement is being filed:

TECHNOLOGY CENTER R3700

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 CFR § 1.97(b), no certification or fee is required.

2. _____ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 CFR § 1.113 or notice of allowance under 37 CFR § 1.311. Therefore, in accordance with 37 CFR § 1.97(c), submitted herewith is:

(check either A or B below)

- A. _____ a certification as specified in 37 CFR § 1.97(e).
B. _____ the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement

under 37 CFR § 1.97(c).

3. _____ after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
- A. a certification as specified in 37 CFR § 1.97(e);
 - B. the petition fee set forth in 37 CFR § 1.17(i); and
 - C. the accompanying petition under 37 CFR § 1.97(d).

CERTIFICATION

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

_____ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(1).

OR

_____ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(2).

METHOD OF PAYMENT

 X No fee is required.

 Attached is a check in the amount of \$__.

The Commissioner is authorized to charge any fee deficiency

required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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